

Appendix C\_R 2.0 eZ-Audit Open SIR Report 05142004.xls

SIR id	SIR Title	SIR Description	Severity	Test_pass	Test_Script_No	Work Around
EZAUD00001762	Historical Submission: Pre-R 2.0 Stub Audit	pre-R 2.0 Stub Audit submissions were created with two records, Financial Statements and Compliance Audit records. When viewed post R 2.0, the system will only return the Compliance Audit record and within that record, the Financial Statement page has been removed. Case users do not have access to the FS information and the submission is unable to continue through the eZ-Audit workflow because the system will be expecting the submission to have two corresponding records, when the CA record is the only one that exists.  The system should present the pre R 2.0 Stub submissions in historical view and in Case in the form that they were created.	2-Medium	R 2.0 Regression Pass 2	Regression	N/A
EZAUD00001698	Read-only New Institution Checklist page - next page button	Non-profit user <nschoo14> OPE ID <99999968> made a New Institution Submission. After the record was submitted and archived, the user accessed the Historical version of the New Institution record via the View Historical Submission button located in the left navigation of the Institution Home page. The user selected the Completeness Checklist link from the left navigation. The page contained the following buttons at the bottom of the page: Cancel, Save, and Save and Proceed.  The system should only display a "Next Page" button.	3-Low	R 2.0 Regression Pass 1	Regression	User can navigate through the submission via the left navigation or by selecting the provided "Save and Proceed" button. This button will return the next page of the submission without disrupting data integrity.
EZAUD00001702	Ready only A-133 New Institution FS page - FYE field label	Non-profit user <nschoo14> OPE ID <99999968> submitted a New Institution record. After the record was reviewed and Archived, the user accessed the Historical view of the submission via the View Historical Submissions button located on the Institution Home page. The attached screen shot illustrates the FS page that the system displayed.  The Fiscal Year End label and data associated with Question 1 showed be in vertical alignment with the rest of the data displayed. Also, the text of the FYE date is different then the text of the other data displayed on the FS page. All data should be displayed in the same text style and size.	3-Low	R 2.0 Regression Pass 1	Regression	N/A
EZAUD00001703	Add Auditor Banner needs to be centered	User logged in as fa01 and selected "Manage Auditor Information" link. The "Add Auditor" Banner (ban_addauditor) was left-aligned. It should be centered.	3-Low	R 2.0 Regression Pass 1	5	N/A
EZAUD00001708	Left navigation link for Financial Analyst contains Submit link to read-only page	user: gkelly01 record viewed: opeid 03085300, sub-id 34116  User viewed the financial statement record of the above submission as FA user gkelly01. The submission links on the left navigation bar contained a link to view the submit page of the submission. It has not been on the bar previously, and is not useful to view, as it contains no data. This also creates another problem related to the number gifs, as the system tries to display a "9" gif where they should be none.	3-Low	R 2.0 Regression Pass 1	1	Notice that the Submit page found in the historical view of a submission contains no data entry.
EZAUD00001705	Auditor Information needs to be centered on page	User logged in as fa01 and selected Proprietary School OPEID:10000008. User selected "Manage Auditor Information" and then "Modify File Info". The Auditor Information was aligned to the right instead of to the center.	3-Low	R 2.0 Regression Pass 1	5	N/A
EZAUD00001752	Read Only SG Checklist does not appear in the same format as data entry	opeids: 77777797, 77777791, 77777788  User completed a non-profit unconsolidated school group submission. When user viewed the read-only checklist page as a QC user, the checklist page did not appear in the same format as the data entry. First, the read-only format did not appear in the "newest" checklist format (i.e. fs questions and ca questions grouped together). It appeared in pre R2.0 Regression Pass 2 format. Second, member checklist pages also show financial checklist questions, although institution user would not see these questions on the page. No data was lost.	3-Low	R 2.0 Regression Pass 2	6	Notice that the historical view of the referenced submission type provides the correct information. The data is simply displayed in a different order than entered.
EZAUD00001753	School Group grid on FS statements should not be numbered	user: jlopez01, nschoo07  User created both consolidated and unconsolidated non-profit school group submissions. In both cases, the school group grid was marked as item #1 on the financial statement page. The next item was also marked item #1 (begin and end date, which it should be). As discussed between func. and dev. team, School Group grid should not be numbered on any data entry page.  This SIR applies to all Institution types. -BGL	3-Low	R 2.0 Regression Pass 2	6	N/A
EZAUD00001755	Read only proprietary compliance audit page contains school group reference in field label	opeid: 00744400  User created and submitted a non-school group proprietary annual submission. The data entry page contained no references to school group. When user logged into qc and looked at the compliance audit pages, item #3 'is this a consolidated audit report?', appeared on the page. This question should not be there.	3-Low	R 2.0 Regression Pass 2	2	N/A
EZAUD00001764	Search: Results pages	Case user <malbri01> searched the system for all the Public records. The user scrolled to the bottom of the Search Results table and selected the Next page button. The user selected an institution from "Page 2" and then selected the Search button from the top navigation. The system returned "Page 3" of the Search Results. On the last "Page #" of the Search Results the user performed the same steps and the system returned the user to the Search page with no Search Results, only a Previous page button which returned the user to the last page of the Search Results.  The system should return the Case user to the "Page #" of the Results that the user previously saw.	3-Low	R 2.0 Regression Pass 2	Regression	The user will have to utilize the "Previous Page" and "Next Page" buttons provided at the bottom right corner of the Search Results table.

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SIR id	SIR Title	SIR Description	Severity	Test pass	Test Script No	Work Around
EZAUD00001765	Search: Submission Status for submissions of type "Other"	Case user <malbri01> searched by the following criteria:  Type of Submission = Other  The system returned Other submissions (Waiver, Change in FYE, ..). The Submission status of these records displayed as N/A.  The system should display a Submission Status indicator that represents were the record is in the workflow, i.e. CTL Pending Assignment Queue,...., Archived.	3-Low	R 2.0 Regression Pass 2	Regression	To verify the location/state of an "Other" submission, the Case user will have to select the Institution name link of the corresponding submission. If the system returns a Read-only page then the submission has been approved. If the returned record is editable, then the Case user will have to contact the Co-Team Leader(s) that oversees the Case Team responsible for the submission. Case Team number is displayed with each submission in the Search Results table..
EZAUD00001766	Read-only Merger/CIO Financial Statement page - lines on page	Nonprofit user <nonprof01> and Proprietary user <wsmith01> accessed the FS page from while creating a Merger/CIO submission. Below the "Contact Information" section header the system displayed two grey lines in parallel.  Only one grey one should exist below the header for this section.	3-Low	R 2.0 Regression Pass 2	Regression	N/A
EZAUD00001767	Proprietary Compliance Audit pages - Duplicate Text	For all the Compliance Audit pages for a Proprietary user, the following text is displayed in duplicate at the top of the CA page:  All fields are required  This text should only be displayed once.	3-Low	R 2.0 Regression Pass 2	Regression	N/A
EZAUD00001512	Checklist & Upload Pages for Unconsolidated school group use grid vs dropdown	User: pschoo07 OPEID: 99999996  User was completing an unconsolidated school group annual submission as a locator. On both checklist and upload pages, design listed a drop-down box. Grid will work functionally, but then there must be text stating which school the user is currently submitting for. School group grid does bold name of active school, but does not explicitly say which school is active, as in Compliance Audit pages. Either create drop-down box, or add text similar to compliance audit page.	3-Low	R 2.0 System Test Pass 3	9	N/A
EZAUD00001567	Resubmission: Disable "same as above" on Checklist	User create an Annual Submission and submitted it to ED. QC reviewed the submission and marked the record Incomplete. The reasons for Incomplete were such that upon resubmission, the institution user had either access to change Financial Statement Contact information located on the Checklist page or no editable access to any portion of the Checklist page. The user selected the 'Same contact information as above' checkbox. The system did not display any changes in the data because the Compliance Audit contact info was read-only. The record was then submitted. Historical data for CA contact info will now be identical to that of the FS contact info. This can be seen if the record is marked incomplete again and the submission is returned to the institution user or if the record is viewed historically from the Case side.  The 'Same contact info as above' checkbox functionality needs to be removed or disabled when the CA contact info is read-only in the Resubmission template.	3-Low	R 2.0 System Test Pass 4	2	Notice there may be cases where the Compliance Audit contact information will be incorrectly displayed as a duplicate of the Financial Statement Contact information to a Case user. In no instances will the Incomplete email notification be sent to the incorrect contact because of this error.
EZAUD00001589	Checklist Page: Proprietary school, question 2 should be in same format as question 1	Proprietary Institutions Checklist Page: Format of Question (part) #2 should be in the EXACT same format as question 1. It should extend all the way across the page, and be in the same font and style as question 1.  Also the grey line below the question should be extended to the right most of the page, resembling that of question 1.	3-Low	R 2.0 System Test Pass 4	2	N/A
EZAUD00001613	Viewing from QC- Stub Audit Checklist Page has extra lines	User logged in as pscre03 and selected Stub Audit for OPEID: 20000001. User selected to view the Checklist page and many extra vertical lines appeared before the "YES" column and under the "N/A" column for all items listed.  Same occurs for all A-133 submissions.  Screenshot is attached.	3-Low	R 2.0 System Test Pass 5	3b	N/A
EZAUD00001639	Read-only CA page - alignment for question 1.b	The alignment for the answer to question 1b. on A-133 Read-only Compliance Audit pages needs to be adjusted. The populated answer, either YES or NO, for this question is not displayed above the static text answers. The populated data is displayed slightly to the left of the desired position.	3-Low	R 2.0 System Test Pass 5	2	N/A
EZAUD00001669	Waiver: Duplicate links to uploaded file	User <gkelly01> Data used <Waiver, 02593000>  Action Taken: User selected to view/approve a submitted waiver request.  Description of Result: The system displays two separate links to view the uploaded waiver/exemption request. Only 1 file was uploaded, expected result is that one would display.	3-Low	R 2.0 UAT Environment Test	UAT 5	Notice both links will return the same attachment.

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EZAUD0001679	Read-only QC page display after Submit in QC	<p>User &lt;pscree03&gt; Data used &lt;55555596&gt;</p> <p>Action Taken: Qc user selected to perform QC from the pending approval queue for a Locator FS record. User selected to submit as complete. (Proprietary INS)</p> <p>Description of Result: Expected result is that the system would return the user to the qc queue with the record no longer displayed.</p> <p>The actual result was that the system displays a read-only version of the financial statement QC.  difficult to duplicate</p>	3-Low	R 2.0 User Acceptance Test	UAT 4	If this occurs the user can select the "eZ-Audit Home" link from the top navigation. This link will return the user to the correct QC Queue page.